



# Clinical, Functional and Radiological Outcome of Posterior Lumbar Interbody Fusion by Banana Cage with Bone Graft for the Treatment of High-Grade Lumbar Spondylolisthesis At L5-S1

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## ABSTRACT

**Objectives:** To assess the clinical, functional and radiological outcome of posterior lumbar interbody fusion (PLIF) by Banana cage with bone graft.

**Material and Methods:** This retrospective analytical study was carried out in spine unit of Orthopaedic surgery department of Bangabandhu Sheikh Mujib medical University, and another private hospital in Dhaka city, Bangladesh from January 2010 to December 2020. We did PLIF by Banana cage with bone graft for High-grade Lumbar Spondylolisthesis at L5-S1. The follow up period ranges from 1 year to 2 years (average 18 months). Within these follow up period we have assessed the patients clinically, functionally and radiologically. All patients were assessed by Visual Analogue Score (VAS), Oswestry Disability Index (ODI), Waddell disability index (WDI), Spino-pelvic parameters, Modified Macnab's Criteria to find out overall outcome and Hackenberge criteria for radiological fusion.

**Results:** Total 40 patients were included among them 16 were male and 24 were female. The average age of the patients was 52.45 ± 10.1 years. Maximum (60.0%) patients were housewife followed by 20.0%, 10.0%, 10% were day laborer, farmer and service holder respectively. Average pelvic tilt was 26.05 ± 6.27° preoperatively and 24.10 ± 6.26° at the final follow-up, average PI was 66.07 ± 7.39° preoperatively and 61.19 ± 7.08° at the final follow-up. Preoperative lumbar lordosis was 45.55 ± 6.71° with postoperatively 37.29 ± 6.19° at final follow-up. VAS score and ODI scales were improved significantly from preoperative 6.90 ± 6.16 and 57.60 ± 15.66, respectively, to postoperatively and final follow-up 2.0 ± 0.8 and 7.60 ± 2.40, respectively. Pre-operative Translation ratio, slip angle and disc height ratio were 21.96 ± 10.25, -18.87 ± 8.28, 11.03 ± 4.36 respectively and postoperatively 13.17 ± 6.57, -18.44 ± 7.12, 19.60 ± 3.36 respectively. Fusion was achieved in 36 cases (90%), 3 cases (7.5%) were fragmented and pseudoarthrosis showed only 1 case (2.5%). Most of the study population according to post operative clinical outcome showed excellent outcome (95%), 1 (2.5%) case had good and 1 (2.5%) case had fair outcome.

**Conclusion:** It can be concluded that, posterior lumbar interbody fusion (PLIF) by Banana cage with bone graft can be a very good option for the treatment of High-grade Lumbar Spondylolisthesis at L5-S1 levels.

## ARTICLE HISTORY

Received May 10, 2022  
Accepted May 19, 2022  
Published May 25, 2022

**Keywords:** Posterior Lumbar Interbody Fusion, High-grade Spondylolisthesis, Visual Analogue Score, Oswestry Disability Index, Waddell disability index, Hackenberge criteria, Pelvic parameters.

## Introduction

Pain in the lower lumbar region is a socioeconomically serious medical illness worldwide. The main reason from physiological consideration is micro and macro instability of spine [1, 2]. There are numerous causes for backache. Spondylolisthesis is one among them [3]. Spondylolisthesis is defined as a displacement of one vertebra over the next lower vertebra in the sagittal plane. High-grade spondylolisthesis (HGS) is defined as greater than 50% slippage of a spinal vertebral body relative to an adjacent vertebral body as

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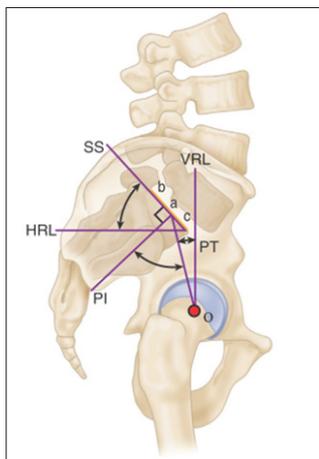
per Meyerding classification, and most common location being L5/S1 followed by L4/L5 [4]. Most commonly used classification systems for spondylolisthesis were introduced by Wiltse et al and Marchetti and Bartolozzi which is most practical classification system in terms of prognosis and therapy [5,6].

In addition to the bony morphologic changes seen in high-dysplastic spondylolisthesis, spinopelvic balance plays an important role in the development and progression of spondylolisthesis [7]. Altered biomechanical stresses found due to abnormal spino-pelvic balance at the lumbosacral junction and compensatory mechanisms used to maintain adequate posture and gait.

Degenerative lumbar spondylolisthesis (DLS) is always associated with facet joint degeneration and mostly observed in persons over the age of 50 years. Individuals may suffer from spinal stenosis with back and leg pain [8]. Decompression with fusion better than isolated decompression because it will further destabilize the spine, permitting further slip progression [9,10].

Vertebral Interbody fusion (IBF), is relatively new set of technique, has become very popular in the treatment of symptomatic DLS. Interbody fusion provides a number of potential benefits for relieving symptoms. It improves the biomechanical stability of a construct mainly by stabilizing the anterior column. This can be proved important especially in patients with High-grade spondylolisthesis, Unstable slips, Degenerative type of scoliosis, and retained disc height) [11–14]. Insertion of interbody devices also improve sagittal alignment and restore disc and foraminal height as well, which ultimately provide indirect decompression of foraminal and canal stenosis and aiding in spondylolisthesis reduction [12,15].

Posterior lumbar interbody fusion (PLIF) surgery is performed by the standard posterior approach. Wide laminectomy is done first followed by partial bilateral facetectomy, then the neural elements are retracted to either side, to make space for disc space preparation and finally insertion of a titanium interbody device packed with autogenous bone graft within the inter-vertebral space [16, 17].



**Figure 1:** Sacral slope (SS) is angle subtended by horizontal reference line (HRL) and sacral endplate line (bc). SS shares common reference line (bc) with pelvic incidence (PI) and pelvic tilt (PT). PI is measured from static anatomic structures. PT and SS depend on angular position of sacrum/pelvis in relation to femoral heads, which changes with standing, sitting, and lying

down. Relationship of PT and SS is affected by lumbosacropelvic flexion and extension. VRL, Vertical reference line. (From Jackson R, Kanemura T, Kawakami N, Hales C: Lumbopelvic lordosis and pelvic balance on repeated standing lateral radiographs of adult volunteers and untreated patients with constant low back pain, Spine 25:575–586, 2000).

**Chart 1:** Oswestry Disability index

0% to 20%: minimal disability:	The patient can cope with most living activities. Usually no treatment is indicated apart from advice on lifting sitting and exercise.
21%-40%: moderate disability:	The patient experiences more pain and difficulty with sitting, lifting and standing. Travel and social life are more difficult and they may be disabled from work. Personal care, sexual activity and sleeping are not grossly affected and the patient can usually be managed by conservative means.
41%-60%: severe disability	Pain remains the main problem in this group but activities of daily living are affected. These patients require a detailed investigation.
61%-80%: crippled:	Back pain impinges on all aspects of the patient's life. Positive intervention is required.
81%-100%:	These patients are either bed-bound or exaggerating their symptoms.

**Chart 2:** Spino-pelvic parameters

Parameters	Descriptions
Sacral slope (SS)	The angle between the horizontal line and the cranial sacral endplate tangent.
Pelvic tilt (PT)	The angle between the vertical line and the line joining the middle of the sacral plate to the center of the bicoxofemoral axis
Pelvic index (PI)	The angle between the line perpendicular to the middle of the cranial sacral endplate and the line joining the middle of the cranial sacral endplate to the center of the bicoxofemoral axis
Lumbar lordosis (LL)	Cobb Angle measured from the superior endplate of L1 to the superior endplate of S1
C7 Plumb line	Vertical line drawn from the center of C7 vertebrae on a radiograph. Often used as a reference line for measuring sagittal balance. The distal reference point for this parameter is the posteriosuperior corner of the sacrum

**Material and Methods**

This retrospective analytical study included 40 patients were carried out in spine unit of Orthopaedic surgery department of Bangabandhu Sheikh Mujib medical University, and another private hospital in Dhaka, Bangladesh from January 2010 to December 2020. PLIF by Banana cage with bone graft was done for High-grade Lumbar Spondylolisthesis only at L5-S1. The follow up period ranges from 1 year to 2 years (average 18 months). Within these follow up period we have assessed the patients clinically, functionally and radiologically. All patients were assessed pre and post operatively by Visual Analogue Score (VAS), Oswestry Disability Index (ODI), Waddell disability index (WDI), Spino-pelvic parameters, Modified Macnab's Criteria to find out overall outcome and Hackenberge criteria for radiological fusion. IBM-SPSS V26 software was used for statistical analysis.

**Selection of the patients for surgery**

**Inclusion criteria:** Patients with grade iii, iv and v spondylolisthesis, only at L5-S1 who had severe low pain, neurological deficit and restriction of movement with instability not responding to conservative treatment.

**Exclusion criteria:** Patients with grade i and grade ii spondylolisthesis. High grade spondylolisthesis patients with severe comorbidities and infection at local incision site.

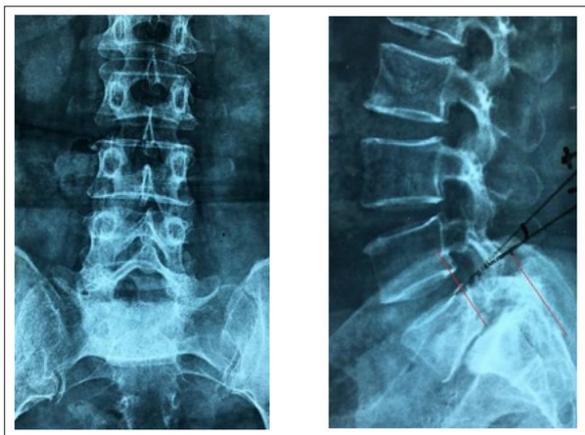


Figure 2: Pre-operative X-ray

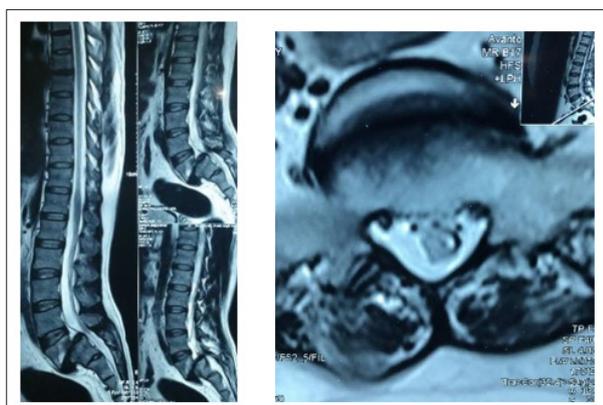


Figure 3: Pre-operative magnetic resonance imaging

### Operative technique

Patient is prone and two parallel pillows on a radiolucent table after General anesthesia ensure the abdomen hangs free. After proper cleaning, painting and draping of the operative area, a lower midline incision was made extending from spinus process of L4 to S3. The skin, subcutaneous tissue was cut in a single line, and the deep fascia and supra spinus ligaments cut in a same plane by diathermy. Then para spinal muscles made retracted subperiosteally up-to tip of transverse processes bilaterally. The space was identified under C-ARM guidance and partial or complete laminectomy of L5 was done. After identification of L5 nerve root, L5-S1 joint space clearance was done using end plate curette and box curette. After that we put pedicle screw from L4 to S1 on either side. Then distraction between L4 and S1 done after putting pre bended rod on the pedicle screws slots, reduction of L5 achieved by either using a persuator with a traditional L5 pedicle screw or some times by a reduction screw. After reduction we again distract between L5-S1 to get enough space for introduction of proper sized Banana cage with bone graft. After putting cage with bone graft at space, we put a gel foam over open cauda equina. Proper haemostasias was ensured in every step of surgery. The wound was closed in anatomical layer by absorbable 1/0 cutting body vicryl and skin by skin stapler keeping a drain in situ.

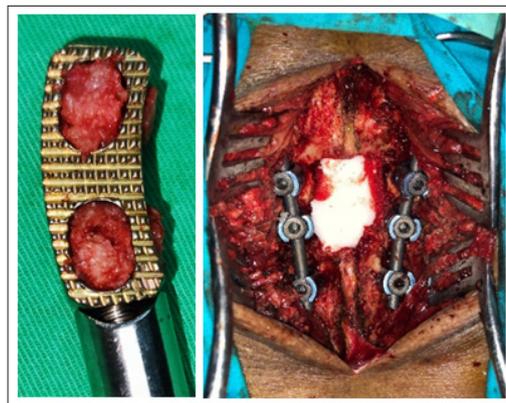


Figure 4: Banana cage filled with bone graft, Per-operative picture showing pedicle screw and rod

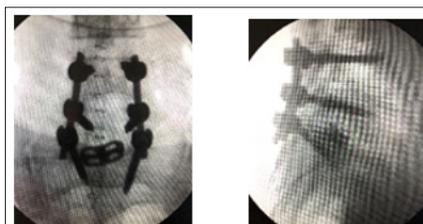


Figure 5: Per-operative C-ARM imaging

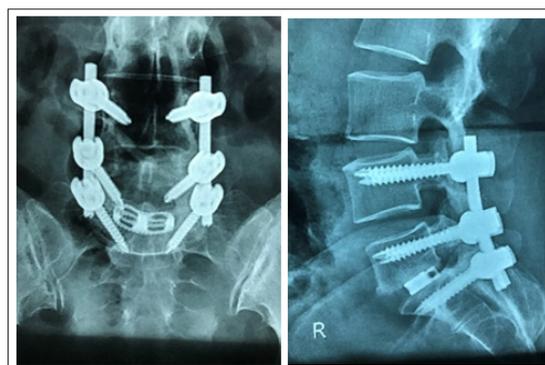


Figure 6: Immediate Post operative -operative X-ray

### Results

The retrospective analytical study includes 40 patients who fulfilled the inclusion criteria, were operated by PLIF by Banana cage with bone graft for High-grade Lumbar Spondylolisthesis. All patient were followed up from 1 year to 2 years (average 18 months) postoperatively.

#### Pre operative and post operative comparison of clinical outcomes after 24 months (n=40) According to VAS & WDI score

Score	Pre operative (Mean ± SD)	Final follow-up (Mean ± SD)	p value
VAS	6.90 ± 6.16	2.0 ± 0.8	<0.001
WDI	7.03 ± 1.08	2.07 ± 0.61	<0.001

#### Pre operative and post operative comparison of clinical outcomes after 24 months (n=40) According to ODI score

Score	Pre operative (Mean ± SD)	Final follow-up (Mean ± SD)	p value
ODI	57.60 ± 15.66	7.60 ± 2.40	<0.001

**Mean pre- and post-operative (after 24 months) spinopelvic parameters (n=40)**

	Pre operative	24 months after operation	P value
Pelvic Tilt (°)	26.05 ± 6.27°	24.10 ± 6.26°	<0.001
Pelvic Index (°)	66.07 ± 7.39°	61.19 ± 7.08°	<0.001
Lumbar Lordosis (°)	45.55 ± 6.71°	37.29 ± 6.19°	<0.001

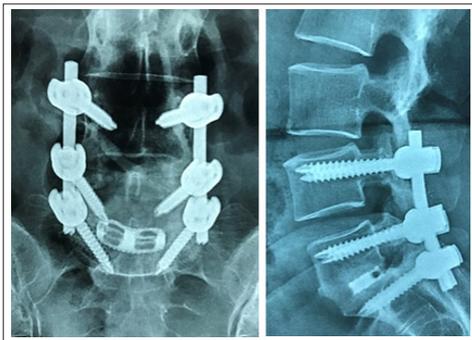
**Radiological fusion status after 24 months of operation (n=40)**

**According to Hackenberge criteria (Hackenberge et al.2005)**

	24 months after operation n (%)
Fused 36	90
Probably fused 3	7.5
Pseudo-arthrosis 1	2.5
Total 40 (100.0)	100

**Distribution of patients according to time taken for fusion (n=40)**

No. of Patient developed fusion (n=40)	Time taken for fusion				(Mean ± SD)
	6 <sup>th</sup> month	12 <sup>th</sup> month	18 <sup>th</sup> month	18 month (CT scan)	
	(Radiological Fusion by X-ray)				
	22 (55%)	7 (17.5%)	5 (12.5%)	5 (12.5%)	9.9 ± 4.32



**Figure 6:** 18<sup>th</sup> month Post-operative X-ray showing good bony fusion

**Distribution of patients according to post operative clinical outcome (n=40)**

**According to Modified Macnab criteria (Macnab I et al.1971)**

Comprehensive outcome	Frequency (n)	Percentage (%)
Excellent	35	87.5
Good	04	10
Fair	01	2.5
Poor	00	0.0

**Discussion**

Patients treated by PLIF with Banana cage and bone graft were followed up for the period of 24 months. Overall clinical outcome categorized as excellent, good, fair, poor according to modified Macnab criteria. For statistical analysis good and excellent were grouped as satisfactory and fair and poor as unsatisfactory.

In this study, age range of the patients were 41 – 72 years, mean age was 52.45 ±10.1 years and the male to female ratio was 8:12 which are comparable to the study of Audat et al, 2012. Who found mean age 54.2 ± 13.6; (range 36.0–66.0) [18].

Regarding occupation of the patient maximum (60.0%) patients were housewife followed by 20.0%, 10.0%, 10.0% were day laborer, farmer and service holder respectively. In study of Sakeb et al., 2013 maximum 65.4% were house wife, 15.4% were manual worker and 19.2% were sedentary worker which is also comparable [19].

All 40 (100%) patients had back pain, sciatica and neurogenic claudication (Audat et al., 2012). Sensory disturbance was present in 38 (95%) patients, and motor weakness was observed in 31 (77.5%) patients [18]. Only 1 (2.5%) patient had urinary retention. Which is also comparable to study of Sakeb et al., 2013 [19]. All were improved significantly (P<.005) in postoperative group.

Decrease in spinopelvic parameters were observed after operation and remain almost unchanged throughout the follow up period (average pelvic tilt was 26.05 ± 6.27° preoperatively and 24.10 ± 6.26° at the final follow-up, average PI was 66.07 ± 7.39° preoperatively and 61.19 ± 7.08° at the final follow-up. Pre-operative lumbar lordosis were 45.55 ± 6.71° and 37.29 ± 6.19° at final follow-up). Study carried out by Lengert et al, 2014 [20]. Showed similar improvement.

Significant improvement of VAS score for back pain and post operative score from 6.90 ± 6.16 to 2.0 ± 0.8 score with significant (p<0.001), and ODI was significant before operation 57.60 ± 15.66 to 7.60 ± 2.40 with (P<0.001) in at 12 months follow up. In a study of Sakeb et., al (2013) mean VAS reduced from 7.2 to 2.2 and mean ODI reduce from 60.7 to 11.2 at 12 months follow up. WDI significantly reduced from 7.03 ± 1.08 to 2.07 ± 0.61 with a significant (P<0.001) value [19].

About 55% achieved radiological fusion at 6th month in our series which co-inside with 52% early fusion in Lee H et al. (2012) series [21]. Rate of spinal fusion with bone graft range from 46%-90% in Lowe GT et al. (2002) series [22]. In our series fusion evaluation by CT scan for 5 patient who showing doubt in x-ray fusion, 4 out of 5 (80.00%) fused and one was fragmented according Cristensen assessment scale after 18 months of follow-up. Fusion after 18 months including X-ray & CT scan evaluation is 97.5%. Fusion was achieved 95.7% (45 of 47) cases in the study of AGAZZI et al. (1999) [23]. Radiographic fusion was present in 27 (88.9%) patients after one year in the study of Audat et al. (2012) [18].

Post operative wound infection was in 1 (2.5%) and pseudo-arthrosis was in 1 (2.5%) patient. Wound infection was managed conservatively by antibiotics according to culture and sensitivity report, improvement of nutritional status, removal of stitch, regular dressing secondary wound closure. Pseudo-arthrosis was managed conservatively as the symptoms reduced significantly and patient continues his daily life without any trouble. In our study 35 (87.5%) patients got satisfactory results and 4 (10.0%) patients got Good result in 1 (2.5%) case had fair outcome.

**Conclusion**

The results of the present study indicate that the outcomes were significantly improved with surgery and the technique of Posterior lumbar interbody fusion with Local bone graft and Cage produced satisfying clinical, functional and radiological improvement.

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